

China Renaissance Securities (US) Inc. (the “Firm”) has developed a Business Continuity Plan on how we will respond to events that significantly disrupt our business. Since the timing and impact of disasters and disruptions is unpredictable, we will have to be flexible in responding to actual events as they occur. With that in mind, we are providing you with this information on our business continuity plan.

Contact: In the event of a significant business disruption, that prevents China Renaissance Securities US Inc. from responding to your calls concerning your trading account you should contact your prime broker. Each of these firms, as members of FINRA, have adopted business continuity and disaster recovery plans and, as required, will advise you directly of alternate means of contacting them in the event that usual contact methods are not available.

If you need to reach us, and cannot contact us as you usually do, at the firm’s main number, you may contact Jonathan Hong at (347) 5800800.

Our Business Continuity Plan: We plan to quickly recover and resume business operations after a significant business disruption and respond by safeguarding our employees and property, making a financial and operational assessment, and protecting the Firm’s books and records. Our business continuity plan is designed to permit the Firm to resume operations as quickly as possible, given the scope and severity of the significant business disruption.

Our business continuity plan addresses: data back-up and recovery; financial and operation assessments; alternative communications with customer, employees and regulators, alternate physical location of employees, critical suppliers and banking arrangements, and regulatory reporting, taking into account that our customers deal directly with their brokerage firms with respect to all aspects of their trading accounts.

While every emergency situation poses unique problems based on external factors, we believe that these other firms, as members of FINRA, have the objective is to restore their own operations as quickly as possible.

For more information: If you have questions about our business continuity planning, you can contact: JonathanHong@chinarenaissance.com.